Substitute for Form 1449/PTO Complete if Known 10/572,525 Application Number INFORMATION DISCLOSURE Filing Date October 26, 2007 STATEMENT BY APPLICANT First Named Inventor: Xuejun Kang (use as many sheets as necessary) Art Unit 2823 **Examiner Name** Nguyen, Khiem D. Sheet 1 of 5 Attorney Docket Number 7989P002 **U.S. PATENT DOCUMENTS** Publication Date Name of Patentee or Pages, Columns, Lines, Examiner Cite No. Initials* **Document Number** MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Relevant Number-Kind Code²(If known) Figures Appear US-4.107.720 8/15/1978 Pucel et al. US-5,192,987 3/9/1993 Khan et al. US-5,405,804 4/11/1995 Yabe 7/18/2000 US-6,091,085 Lester 1/2/2001 US-6,169,297 Jang et al. 6,307,218 10/23/2001 Steigerwald et al. US-4/2/2002 6,365,429 Kneissl, et al. US-US-6,420,242 7/16/2002 Cheung et al. 7/16/2002 US-6,420,732 Kung et al. US-6,492,661 12/10/2002 Chien et al. US-6,573,537 6/3/2003 Steigerwald et al. US-6,821,804 11/23/2004 Thibeault et al. US-7,338,822 3/4/2008 Wu et al. US-Nikolaev et al. 2002/0022286 A1 2/21/2002 US-2002/0034835 A1 3/21/2002 Chen et al.

		F	OREIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		CN 1373522 A	10/9/2002	Quanxin Photoelectric Science		*
		EP 1 061 590 A1	12/20/2000	Shin-Etsu Handotai Co., Ltd.		
		EP 1 139 409 A2	10/4/2001	Agere Systems Guardian Corporation	7	
		JP 2001-036129	2/9/2001	Dowa Mining Co.		*
	ĺ	JP 2001-168387	6/22/2001	Toyoda Gosei KK		*
		JP 2001-237461	8/31/2001	Tokyo Shibaura Electric Co.		*
		JP 2005-236048	9/2/2005	Shin-Etsu Handotai KK		*
		JP 2006-253647	9/21/2006	Rohm Co. Ltd.		*
		JP 10-117016	5/6/1998	Nichia Chem Ind. Ltd.		*
		KR 10-2002-079659	10/19/2002	Epivalley Co. Ltd.		*

* only Abstract in English

Examiner	Date Considered	
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gcv or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if services it is to please a check mark bars if English Ingrusor translation is attached.

possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Substitute for Form 1449/PTO Complete if Known 10/572,525 Application Number INFORMATION DISCLOSURE Filing Date October 26, 2007 STATEMENT BY APPLICANT First Named Inventor: Xuejun Kang (use as many sheets as necessary) Art Unit 2823 Nguyen, Khiem D. **Examiner Name** Sheet 2 of 5 Attorney Docket Number 7989P002 **U.S. PATENT DOCUMENTS** Publication Date Name of Patentee or Pages, Columns, Lines, Cite No. Examiner Initials* **Document Number** MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Relevant Number-Kind Code²(If known) Figures Appear US-2002/0093023 A1 7/18/2002 Camras et al. US-2002/0117681 A1 8/29/2002 Weeks et al. US-2002/0134985 A1 2/29/2002 Chen et al. US-2002/0179910 A1 12/5/2002 Slater Jr. US-2003/0038284 A1 2/27/2003 Kurahashi et al. 2003/0111667 A1 6/19/2003 Schubert US-2003/0151357 A1 Uemura 8/14/2003 US-US-2004/0026709 A1 2/12/2004 Bader et al. US-2004/0104395 A1 6/3/2004 Hagimoto et al. US-2004/0130037 A1 7/8/2004 Mishra et al. US-2005/0014303 A1 1/20/2005 Tsai et al. US-2005/0026399 A1 2/3/2005 Chien et al. US-2005/0035354 A1 2/17/2005 Lin et al. US-Chien et al. 2005/0082555 A1 4/21/2005 US-2005/0087884 A1 4/28/2005 Stokes et al.

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶			
		SG 200401424-7	3/15/2004	Tinggi Technologies Private Limited					
		SG 200401964-2	4/7/2004	Tinggi Technologies Private Limited					
		SG 200506301-1	9/29/2005	Tinggi Technologies Private Limited					
		SG 200506897-8	10/19/2005	Tinggi Technologies Private Limited					
		SG 200508210-2	12/20/2005	Tinggi Technologies Private Limited					
		SG 200605500-8	8/16/2006	Tinggi Technologies Private Limited					
		SG 200606050-3	9/4/2006	Tinggi Technologies Private Limited					
		TW 475276 B	2/1/2002	Ind. Tech. Res. Inst.		*			
		WO 2001/047039 A1	6/28/2001	Lumileds Lighting, U.S., LLC					
		WO 2004/102686 A1	11/25/2004	Cree, Inc.					

* only Abstract in English

Examiner	Date Considered	
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO Complete if Known 10/572,525 Application Number INFORMATION DISCLOSURE Filing Date October 26, 2007 STATEMENT BY APPLICANT First Named Inventor: Xuejun Kang (use as many sheets as necessary) Art Unit 2823 **Examiner Name** Nguyen, Khiem D. Sheet 3 of 5 Attorney Docket Number 7989P002 **U.S. PATENT DOCUMENTS** Cite No. Publication Date Name of Patentee or Pages, Columns, Lines, Examiner Initials* **Document Number** MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Relevant Number-Kind Code²(If known) Figures Appear US-2005/0093002 A1 5/5/2005 Tsai et al. US-2005/0127397 A1 6/16/2005 Borges et al. US-2005/0164482 A1 7/28/2005 Saxlar US-2006/0124939 A1 6/16/2005 Lee et al. 2006/0163586 A1 7/27/2006 US-Denbaars et al. 2006/0186418 A1 8/24/2006 Edmond et al. US-US-2/8/2007 2007/0029541 A1 Xin et al US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document	ign Patent Document Publication		Pages, Columns,	T₅			
Initials*	No. ¹	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	'			
		WO 2005/064666 A1	7/14/2005	Regents of the University of California					
		WO 2005/088743 A1	9/22/2005	Tinggi Technologies Private Limited					
		WO 2005/029572 A1	3/31/2005	Tinggi Technologies Private Limited					
		WO 2005/098974 A1	10/20/2005	Tinggi Technologies Private Limited					
		WO 2007/037762 A1	5/4/2007	Tinggi Technologies Private Limited					
		WO 2007/046773 A1	4/26/2007	Tinggi Technologies Private Limited					
		WO 2007/073354 A1	6/28/2007	Tinggi Technologies Private Limited					
		WO 2008/020819 A1	2/21/2008	Tinggi Technologies Private Limited					
		WO 2008/030188 A1	3/15/2008	Tinggi Technologies Private Limited					

Examiner	Date Considered	
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. There Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for	or Form 1	449/PTO			Complete if Known						
INFO	RMAT)ISC	LOSURE	Application Number	10/572,525					
					Filing Date	October 26, 2007					
STAT	EME	NT BY	APF	PLICANT	First Named Inventor	r: Xuejun Kang					
	(use as r	many sheets a	as neces	sary)	Art Unit	2823					
				Т	Examiner Name	Nguyen, Khiem D.					
Sheet	4		of	5	Attorney Docket Nun	nber 7989P002					
				NON PATENT	LITERATURE DOCUM	ENTS					
Examiner Initials*	Cite No ¹			nagazine, journa		the article (when appropriate), title of the og, etc.), date, page(s), volume-issue try where published	T ²				
				ıl., "Very Low Resis 672-1674, (March		ct to n-GaN," Applied Physics Letters, Volume					
			I. SCHNITZER, et al., "30% External Quantum Efficiency from Surface Textured, Thin-Film Light-Emitting Diodes," Applied Physics Letters, Volume 63, Issue 16, pgs. 2174-2176, (October 18, 1993).								
		SHYI-MING PAN, et al., "Improvement of InGaN-GaN Light-Emitting Diodies with Surface-Textured Indium-Tin-Oxide Transparent Ohmic Contacts," IEEE Photonics Technology Letters, Volume 15, Issue 5, pgs. 649-651, (May 2003).									
1,		CHUL HUH, et al., "Improved Light-Output and Electrical Performance of InGaN-Based Light-Emitting Diode by Microroughening of the <i>p</i> -GaN Surface," Journal of Applied Physics, Volume 93, Issue 11, pgs. 9383-9385, (June 1, 2003).									
		F.A. KISH, et al., "Very High-Efficiency Semiconductor Wafer-Bonded Transparent-Substrate (Al _x Ga _{1-x}) _{0.5} In _{0.5} P/GaP Light-Emitting Diodes," Applied Physics Letters, Volume 64, Issue 21, pgs. 2839-2841, (May 23, 1994).									
					nitting Diodes with Mirror Subs pgs. 3054-3056, (November 1	strates Fabricated by Wafer Bonding," Applied 5, 1999).	ı				
		InGaAIP an	nd GaAs:		ansparent- and Loss- Type Str	ctor for the Visible-Light Spectral Region using ructures," Journal of Applied Physics, Volume					
		PCT Internate to the Resu	ational S ılts of the	earch Report for Po Partial Internation	CT Application No. PCT/SG20 al Search Report, 3 pgs., (Dec	03/000222 containing Communication relating cember 9, 2003).	3				
					CT Application No. PCT/SG20 al Search Report, 2 pgs., (May	05/000061 containing Communication relating 12, 2005).	3				
					CT Application No. PCT/SG20 al Search Report, 2 pgs., (May	05/000062 containing Communication relating 12, 2005).	3				
					CT Application No. PCT/SG20 al Search Report, 4 pgs., (Nov	06/000254 containing Communication relating					

Considered

Signature

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Substitute fo	r Form 1	449/PTO			Complete if Known					
INFO		LION	DISC	LOSURE	Application Number	10/572,525				
IIVI O	i tivi⁄a i	IOIN	טוטט	LOSUIL	Filing Date	October 26, 2007				
STAT	EME	NT B	YAPF	PLICANT	First Named Inventor:	Xuejun Kang				
	(use as r	nany shee	ets as neces	sary)	Art Unit	2823				
					Examiner Name	Nguyen, Khiem D.				
Sheet	5	5 of 5 Attorney Docket Number 7989P002		7989P002						
				NON PATENT L	TERATURE DOCUMENTS	Ì	- 1			
Examiner Initials*	Cite No ¹		e name of	the author (in CAP) nagazine, journal, s		icle (when appropriate), title of the), date, page(s), volume-issue	T ²			
			PCT International Search Report for PCT Application No. PCT/SG2006/000255 containing Communication relating to the Results of the Partial International Search Report, 3 pgs., (November 6, 2006). PCT International Search Report for PCT Application No. PCT/SG2006/000395 containing Communication relating to the Results of the Partial International Search Report, 3 pgs., (February 26, 2007).							
		PCT International Search Report for PCT Application No. PCT/SG2007/000261 containing Communication relating to the Results of the Partial International Search Report, 3 pgs., (October 12, 2007).								
		PCT International Search Report for PCT Application No. PCT/SG2007/000288 containing Communication relating to the Results of the Partial International Search Report, 3 pgs., (November 9, 2007).								
										
1										
Examiner Signature						Date Considered				

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.